

Customer Testimonial: Financial Services

Top-10 US Wealth Manager Selects WitnessAI for Enterprise AI Governance

Company Profile

A Top-10 US wealth management firm serving over 35 million client accounts across retail brokerage, advisor services, and asset management. The cybersecurity team operates under SEC, FINRA, and state regulatory scrutiny, with continuous audit and examination readiness a baseline expectation.

Business Challenge

The executive team wanted to deploy AI across advisor productivity, client research, back-office operations, and customer interactions. The security team was accountable for safe, governed delivery. Existing DLP and SSE tools could not read AI prompts, attribute activity to individual advisors, or enforce policy on the AI apps employees were already evaluating.

Solution

The security team ran a structured bake-off across eight AI security vendors and thirty-eight capability areas, including prompt inspection depth, PII tokenization, identity attribution, policy granularity, deployment model, and regulatory mapping. WitnessAI won decisively. It was the only platform that could read every prompt and response bidirectionally, enforce intent-based policy with 99.3% true positive rate, attribute every AI action to a specific advisor or system, and deploy through the firm's existing network stack without endpoint agents. Deployment completed in under two weeks.

About WitnessAI

WitnessAI is the unified AI security and governance platform enterprises trust to govern and protect all AI activity. We provide complete, network-level visibility into every interaction including employees and autonomous agents, even in native apps where legacy tools are blind. WitnessAI transforms security from a bottleneck into the enabler of your AI strategy as the confidence layer for enterprise AI.

Learn more at witness.ai.

"We tested eight AI security vendors across thirty-eight capability areas. WitnessAI was the only platform that met our comprehensive AI security and governance needs."

Head of Cybersecurity Engineering

Top-10 US Wealth Manager

Outcome

- Blocked prompt injection and sensitive data exposure across advisor AI tools in production deployment
- Produced per-advisor audit trails accepted by internal compliance and external examiners as evidence of AI governance
- Unified AI governance and policy governance across their entire 30,000+ employee base with bi-directional visibility

Secure Your AI Adoption

[Book a Demo](#)

